

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $\underline{\phantom{0}}$  is attached hereto or  $\underline{\phantom{0}}$  was filed on  $\underline{\phantom{0}}$  May 25, 2002 as Application Serial No.  $\underline{\phantom{0}}$  09/866,034 and was amended on \_ (if applicable).

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I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

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Status: Patented, Pending, Abandoned





**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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Full name of sole or first inventor	
Double Detection	
Dawid Botstein	
Inventor's signature	Date
	Date
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	Date
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Full name of third joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
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US	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	





Full name of fourth joint inventor, if any

Sherman	Fong
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Inventorio gianatura	
Inventor's signature	Date
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Citizenship US	
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Full name of fifth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
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Aëdrey Goddard	
Inventor's signature	Date
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Residence	
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Citizenship CA	
Post Office Address	
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Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence	
1 Debbie Lane, Belmont, California 94002	
Citizenship US	
Post Office Address	
I DNA Way South San Francisco, CA 94080	





Page 4 Full name of eighth joint inventor, if any James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship υs Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date IJ Résidence 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence

3 Rae Avenue, Orinda, California 94563

Citizenship US

Post Office Address

1 DNA Way

South San Francisco, CA 94080





### Full name of twelfth joint inventor, if any

Colin I	/ 141	 L

Date
Date





#### **APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998;

Serial No. 60/112,851 filed December 16, 1998;

Serial No. 60/113,145 filed December 16, 1998;

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Serial No. 60/187,202 filed March 3, 2000.







#### **APPENDIX B**

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Serial No. PCT/US99/12252 filed June 2, 1999;

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Serial No. PCT/US00/04414 filed February 22, 2000;

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Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.





## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

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Prior Foreign A		, , , , , , , , , , , , , , , , , , , ,		Priority	Claimed
E T				Yes	No
Number	Country	Day/Month/Y	ear Filed		
I hereby claim th	ne benefit under Title 35	, United States Code, §1	19(e) of any United States provisional applic	cations(s) I	isted below:
See Attached	Appendix A (listing 1	13 U.S. Provisional Pate	ent Applications)		
Application Ser	<sup>-</sup> . No.	Filing Date		***************************************	
as the subject n provided by the defined in Title	natter of each of the cla first paragraph of Title 37, Code of Federal F	aims of this application is r : 35, United States Code, {	20 of any United States applications(s) lis not disclosed in the prior United States app §112, I acknowledge the duty to disclose no occurred between the filing date of the pri	olication in naterial info	the manner ormation as
See Attached	Appendix B (listing	10 PCT Patent Applicati	ons)		
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Application Ser	. No.	Filing Date	Status: Patented, Pending, A	Nbandoned	4







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#### **APPENDIX A**

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Full name of sole or first inventor	
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Inventor's signature	Date
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Date

#### Full name of eighth joint inventor, if any

James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date Residence 465 Douglass Street, San Francisco, California 94114 Citizenship ŲS.

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Full name of eleventh joint inventor, if any

**Daniel Tumas** 

Inventor's signature

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Citizenship

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1 DNA Way

South San Francisco, CA 94080





#### Full name of twelfth joint inventor, if any

Colin K Watanah	_

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Full name of fifth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
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64+ Pilgrim Drive, Foster City, California 94404	
Citizenship	
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Past Office Address	
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Full name of sixth joint inventor, if any	
Attdrey Goddard	
Inventor's signature	Date
Residence	
110 Congo Street, San Francisco, California 94131	
Citizenship CA	
Post Office Address	
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Full name of seventh joint inventor, if any	
Austin L. Gumey	
Inventor's signature	Date
Residence	
1 Debbie Lane, Belmont, California 94002	
Citizenship US	
Post Office Address 1 DNA Way	
South San Francisco, CA 94080	





#### Full name of eighth joint inventor, if any

James Pan	
Inventor's signature	Date
Residence	
2705 Coronet Boulevard, Belmont, California 94002	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of ninth joint inventor, if any	
Margaret Ann Roy	
Inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, California 94123	
Clizenship US:	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Folliname of tenth joint inventor, if any	
Timothy A. Stewart	
ากีซีตู้ntor's signature	Date
Residence 465 Douglass Street, San Francisco, California 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, California 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	





Date

#### Full name of twelfth joint inventor, if any

· an mario or thomas jo	
Colin K. Watanabe	
Inventor's signature	

Residence 128 Corliss Drive, Moraga, California 94556

Citizenship US

Post Office Address 1 DNA Way

South San Francisco, CA 94080

Full name of thirteenth joint inventor, if any

William I. Wood

Inventor's signature

Date

Residence

35 Southdown Court, Hillsborough, California 94010

Citizenship us

rust Office Address
1 DNA Way
South San Francisco, CA 94080



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T,







### APPENDIX A

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998;

Serial No. 60/112,851 filed December 16, 1998;

Serial No. 60/113,145 filed December 16, 1998;

Serial No. 60/113,511 filed December 22, 1998;

Serial No. 60/115,558 filed January 12, 1999;

Serial No. 60/115,565 filed January 12, 1999;

Serial No. 60/115,733 filed January 12, 1999;

Serial No. 60/119,341 filed February 9, 1999;

Serial No. 60/119,537 filed February 10, 1999;

Serial No. 60/119,965 filed February 12, 1999;

Serial No. 60/162,506 filed October 29, 1999;

Serial No. 60/170,262 filed December 9, 1999;

Señal No. 60/187,202 filed March 3, 2000.





#### **APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT applications listed below:

Serial No. PCT/US99/12252 filed June 2, 1999;

Serial No. PCT/US99/28634 filed December 1, 1999;

Serial No. PCT/US99/28551 filed December 2, 1999;

Serial No. PCT/US00/03565 filed February 11, 2000;

Serial No. PCT/US00/04414 filed February 22, 2000;

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.





### COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2002}$  as Application Serial No.  $\underline{09/866,034}$  and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

Thereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or

inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No ande Country Day/Month/Year Filed Number Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, Lacknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Status: Patented, Pending, Abandoned







**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

hereby declare that all statements made herein of my own knowledge and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of sole or first inventor	
David Botstein	
y suital survivale 1	
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Name of the second seco	
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US	
Pöst Office Address	
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Full-name of second joint inventor, if any	
LuciDesnoyers	
Face2	
Inventor's signature	Date
Residence	
2050 Stockton Street, San Francisco, California 94133	
Citizenship	
CA	
Post Office Address	
1 DNA Way	
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Full name of third joint inventor, if any	
For haine of third joint inventor, it any	
Napoleone Ferrara	
	Date
Inventor's signature	Date
Residence	
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Citizenship US	
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South San Francisco, CA 94080	





#### Full name of fourth joint inventor, if any

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Sherman Fong Inventor's signature Date Residence 19 Basinside Way, Alameda, California 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Wei-Qiang Gao Inventor's signature 8/14/200 Residence 641 Pilerim Drive Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Audrey Goddard Inventor's signature Date H Résidence 110 Congo Street, San Francisco, California 94131 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US





Full name of eighth joint inventor, if any

Post Office Address 1 DNA Way

South San Francisco, CA 94080

James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship ບຮ Post Office Address 1 DNA Way South San Francisco, CA 94080 Full\_name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date IJ Résidence 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US





#### Full name of twelfth joint inventor, if any

T OR FRANCE OF THE SHITT JOINT WITCHTON, II CATY	
Colin K. Watanabe	
Inventor's signature	Date
Residence	
128 Corliss Drive, Moraga, California 94556	
Citizenship US	
Post Office Address	
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence	
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Citizenship	
US:	
Post Office Address	
1 <u>DN</u> A Way South San Francisco, CA 94080	





#### **APPENDIX A**

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Serial No. 60/115,565 filed January 12, 1999;

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#### **APPENDIX B**

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Serial No. PCT/US00/04414 filed February 22, 2000;

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

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Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37,

•	gulations, Section 1.		and the examination (	я инсаррисацон и асс	ordance w	nun rue37,
inventor's certificate		e also identified belo	w any foreign applica	(d) of any foreign appli tion for patent or invent		
Prior Foreign Applic	eation(s):				Priority Yes	Claimed No
Number	Country	Day/Month	Year Filed		***************************************	with the state of
	endix A (listing 13 l	_	•	tates provisional applic	ations(s) li	sted below:
as the subject matte provided by the first defined in Title 37, 0 national or PCT inte	er of each of the claim paragraph of Title 35 Code of Federal Reg ernational filing date	s of this application i , United States Code ulations, §1.56 whic of this application:	s not disclosed in the j e, §112, I acknowledg h occurred between t	ates applications(s) list orior United States app e the duty to disclose m he filing date of the pri	lication in t naterial info	the manner ormation as
Application Ser. No	endix B (listing 10 l	Filing Date		Patented, Pending, A	bandoned	
Application Ser. No		Filing Date	Status:	Patented, Pending, A	bandoned	







**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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Full name of sole or first inventor		and a gramma gramma and a management and a second and a se
David Botstein		
Inventor's signature	Date	
The state of the s		
Residence		
2539 Somerset Drive, Belmont, California 94002		
Citizenship		
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Post Office Address		
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Fill name of second joint inventor, if any		
Luc Desnoyers		
Luc Desnoyers		
Inventor's signature	Date	
Residence		
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Citizenship		
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Full name of third joint inventor, if any		
Tourname of third joint inventor, is any		
Napoleone Ferrara		
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#### Full name of fourth joint inventor, if any

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Sherman Fong Inventor's signature Date Residence 19 Basinside Way, Alameda, California 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Wei-Qiang Gao Inventor's signature Date Residence 641 Pilgrim Drive, Foster City, California 94404 Cilizenship CIT Post Office Address 1 DNA Way Spath San Francisco, CA 94080 Full name of sixth joint inventor, if any Audrey Goddard Inventor's signature Date Residence 110 Congo Street, San Francisco, California 94131 Citizenship СA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address





#### Full name of eighth joint inventor, if any

James Pan

James Fail	•
Inventor's signature	Date
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Full name of ninth joint inventor, if any	
Margaret Ann Roy	
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Full name of tenth joint inventor, if any Timothy A. Stewart	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, California 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	





#### Full name of twelfth joint inventor, if any

0-6-	11	141.4	 - L -	

COMIT N. Waldidate	
Inventor's signature	Date
Residence 128 Corliss Drive, Moraga, California 94556	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence	
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Cilizenship US-	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
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W Affrication	





#### **APPENDIX A**

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#### APPENDIX B

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Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.





Status: Patented, Pending, Abandoned

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

filing date before that of the application on which priority is claimed:

Application Ser. No.

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					
Prior Foreign Ap	plication(s):			<b>Priority</b>	Claimed
				Yes	No
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mereby claim ine	e benefit under Fitte 35, t	United States Code, § 1.1	9(e) of any United States provisional applic	ations(s) lis	sted below:
See Attached A	ppendix A (listing 13	U.S. Provisional Pate	nt Applications)		
Application Ser.		Filing Date	TR Applications)		
1		9 = 4.0			
			20 of any United States applications(s) list		
			ot disclosed in the prior United States appl		
provided by the fi	irst paragraph of Title 3	5, United States Code, $\S$	112, I acknowledge the duty to disclose m	aterial info	rmation as
			occurred between the filing date of the price	or applicati	ion and the
national or PCT	international filing date	of this application:			
0 444		DOTE:			
		PCT Patent Applicati			
Application Ser.	No.	Filing Date	Status: Patented, Pending, A	bandoned	I

Filing Date







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Full name of sole or first inventor		
Dawid Botstein		
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Full-name of second joint inventor, if any		*******
tue-Desnoyers		
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Iniventor's signature	Date	
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Citizenship		
CA		
Post Office Address		
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Full name of third joint inventor, if any		
Napoleone Ferrara		
Inventor's signature	Date	
Residence		
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Post Office Address		
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#### Full name of fourth joint inventor, if any

Sherman Fong	
Inventor's signature	Date
Residence 19 Basinside Way, Alameda, California 94502	
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Full name of fifth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
Residence 64† Pilgrim Drive, Foster City, California 94404	
Citizenship CIT	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full-game of sixth joint inventor, if any  A	
Inventor's signature	Date
Résidence 1 Le Congo Street, San Francisco, California 94131	Million of the first the control of
Ciazenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature Residence	Date ( ) ( ) ( ) ( )
1 Debbie Lane, Belmont, California 94002	
Citizenship US	
Post Office Address  1 DNA Way  South San Francisco, CA 94080	





Full name of eighth joint inventor, if any

		_		

James Pan Date Inventor's signature Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship ບຮ້ Post Office Address 1 DNA Way South San Francisco, CA 94080 Full-name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date H Residence 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080





#### Full name of twelfth joint inventor, if any

Colin K. Watanabe

Inventor's signature	Date
Residence	
128 Corliss Drive, Moraga, California 94556	
Citizenship	
US	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	
Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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35-Southdown Court, Hillsborough, California 94010	
Citizenship	
US-III	
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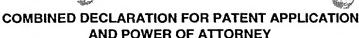
Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.





As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2002}$  as Application Serial No.  $\underline{09/866,034}$  and was amended on \_ (if applicable).

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inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filling date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No Number Country Day/Month/Year Filed Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I fiereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Filing Date Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Application Ser. No. Status: Patented, Pending, Abandoned





**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the tike so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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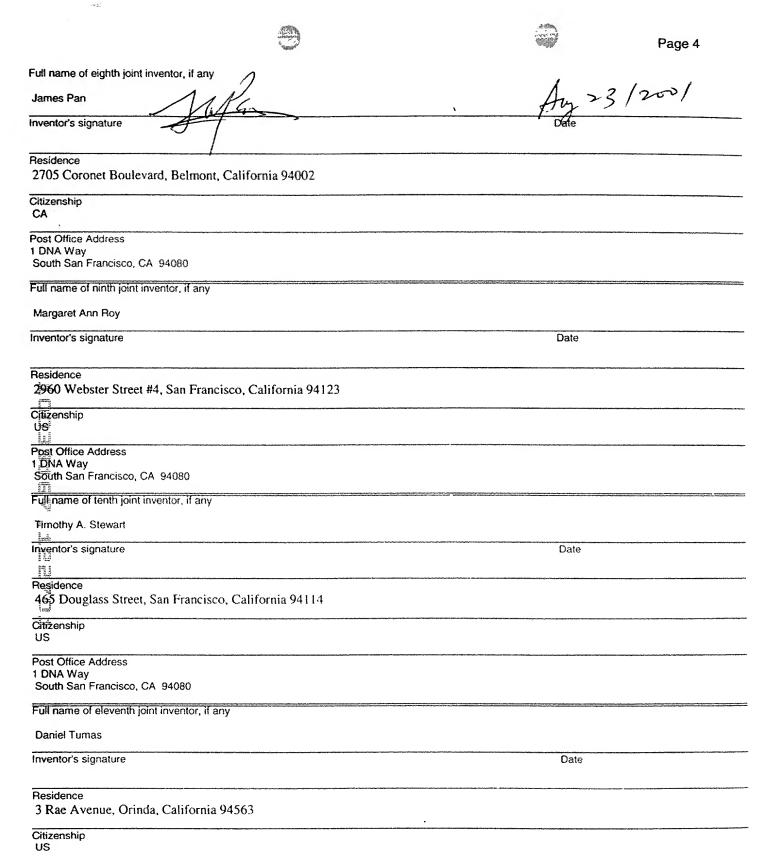
Full name of sole or tirst inventor	
David Botstein	
Inventor's signature	Date
Tiveniors signature	Date
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Cijizenship US:	
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Full name of second joint inventor, if any	
Luc Desnoyers	
	Date
Inventor's signature	Date
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Citizenship	
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Full name of third joint inventor, if any	
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	Deta
Inventor's signature	Date
Residence	
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Citizenship	
US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	





Full name of fourth joint inventor, if any

, , , , , , , , , , , , , , , , , , , ,		
Sherman Fong		
Inventor's signature	Date	
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Citizenship		
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Wei-Qiang Gao		
Inventor's signature	Date	****
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Full name of sixth joint inventor, if any		
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Inventor's signature	Date	
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### Full name of twelfth joint inventor, if any

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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence	
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a phone.	
Cilizenship	
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South San Francisco, CA 94080	
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#### **APPENDIX A**

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Serial No. 60/187,202 filed March 3, 2000.

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#### **APPENDIX B**

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Full name of sole or first inventor	
Dayrd Botstein	
Inventor's signature	Date
Residence 2539 Somerset Drive, Belmont, California 94002	
Citizenship US	
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Full-name of second joint inventor, if any Luc-Desnoyers	
Inventor's signature	Date
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
Residence 2090 Pacific Avenue #704, San Francisco, California 94109	
Citizenship US	1 2/2 4 124 124 124 124 124 124 124 124 124
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Full name of fourth joint inventor, if any

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Inventor's signature Date Residence 19 Basinside Way, Alameda, California 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Wei-Qiang Gao Inventor's signature Date Residence 64<sup>th</sup> Pilgrim Drive, Foster City, California 94404 Citizenship CN Post Office Address 1 DNA Way South San Francisco, CA 94080 Full\_name of sixth joint inventor, if any Audrey Goddard Inventor's signature Date Residence 110 Congo Street, San Francisco, California 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor, if any Austin L. Gurney Inventor's signature Date Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080





Full name of eighth joint inventor, if any

Post Office Address
1 DNA Way

South San Francisco, CA 94080

James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship ÇA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full-name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date IJ Residence 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US







#### Full name of twelfth joint inventor, if any

Colin K. Watanabe

Inventor's signature	Date
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128 Corliss Drive, Moraga, California 94556	
Citizenship US	,
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35-Southdown Court, Hillsborough, California 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
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#### **APPENDIX A**

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Application Ser. No.

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Full name of sole or first inventor		
David Botstein		
Inventor's signature	Date	
s photos 1 de la companya de la com		
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Fullname of second joint inventor, if any		
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Liuc Desnoyers		
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#### Full name of fourth joint inventor, if any

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*:	
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Eig Congo Suect, San Prancisco, Camornia 94131	
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Full name of eighth joint inventor, if any

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James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date 9.24.01 Residence 465 Douglass Street, San Francisco, California 94114 Citizenship US. Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US





#### Full name of twelfth joint inventor, if any

Com K. Watanabe	Colin	K.	Watanabe
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Inventor's signature	Date
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence	
35 Southdown Court, Hillsborough, California 94010	
Cilizenship	
Pest Office Address	
1 DNA Way	
South San Francisco, CA 94080	
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#### **APPENDIX A**

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Docket No. P2930R1C1

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Full name of sole or first inventor		
David Botstein		
VALUE POLICIAN I		
Inventor's signature	Date	
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Citizenship		
Ų <u>s</u>		
Post Office Address		***************************************
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80 H 20 H		
Fill name of second joint inventor, if any		
Luc Desnoyers		
Luc Desnoyers		
Inventor's signature	Date	
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Full name of third joint inventor, if any		
u Alice e		
Napoleone Ferrara		
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Full name of eighth joint inventor, if any

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Full name of eleventh joint inventor, if any	
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Inventor's signature	0ate 8-16 av l
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Citizenship US	
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# Full name of twelfth joint inventor, if any

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Inventor's signature	Date
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Cilizenship US	
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Sputh San Francisco, CA 94080	
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## **APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998;

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Serial No. 60/113,145 filed December 16, 1998;

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Serial No. 60/115,558 filed January 12, 1999;

Serial No. 60/115,565 filed January 12, 1999;

Serial No. 60/115,733 filed January 12, 1999;

Serial No. 60/119,341 filed February 9, 1999;

Serial No. 60/119,537 filed February 10, 1999;

Serial No. 60/119,965 filed February 12, 1999;

Serial No. 60/162,506 filed October 29, 1999;

Serial No. 60/170,262 filed December 9, 1999;

Serial No. 60/187,202 filed March 3, 2000.

IJ II.





## **APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT applications listed below-

Serial No. PCT/US99/12252 filed June 2, 1999;

Serial No. PCT/US99/28634 filed December 1, 1999;

Serial No. PCT/US99/28551 filed December 2, 1999;

Serial No. PCT/US00/03565 filed February 11, 2000;

Serial No. PCT/US00/04414 filed February 22, 2000;

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or X was filed on May 25, 2002 as Application Serial No. 09/866,034 and was amended on [(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No Day/Month/Year Filed Nümber Country Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below. See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35. United States Code, §112, Lacknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Status: Patented, Pending, Abandoned Application Ser. No. Filing Date





**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

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Full name of tenth joint inventor, if any	
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Inventor's signature	Date
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Citizenship	
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Serial No. 60/187,202 filed March 3, 2000.





#### **APPENDIX B**

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Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

Application Ser. No.

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2002}$  as Application Serial No.  $\underline{09/866,034}$  and was amended on \_ (if applicable).

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Status: Patented, Pending, Abandoned

Filing Date







**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

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Full name of eighth joint inventor, if any

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Residence	
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#### **APPENDIX A**

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Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

Serial No. PCT/US00/32678 filed December 1, 2000.